

FORM PTO-1390 (REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. P107359-00002

DATE: June 26, 2001

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLN. NO. (IF KNOWN, SEE 37 C.F.B. 1.5) 0 9 / 86 86 32	
INTERNATIONAL APPLICATION NO. PCT/JP00/07626			INTERNATIONAL FILING DATE October 30, 2000	PRIORITY DATE CLAIMED October 28, 1999	
	TITLE OF INVENTION: ADVANCED WATER TREATMENT SYSTEM AND ADVANCED WATER TREATMENT METHOD				
APPLICANT(S) FOR DO/EO/US: Kazuto HASHIZUME					
	×	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. (THE BASIC FILING FEE IS ATTACHED)			
2.	-	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
3.		This express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).			
4.		A proper demand for International Preliminary Amendment was made by the 19th month from the earliest claimed priority date.			
5. 1. 6.		A copy of the International Application as filed [35 U.S.C. 371(c)(2)] a. □ is transmitted herewith (required only if not transmitted by the International Bureau). b. □ has been transmitted by the International Bureau. c. □ is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.		A translation of the International Application into English [35 U.S.C. 371(c)(2)].			
n ng par		Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)] a.			
8.		A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].			
= 9.		An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].			
10.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].			
Items 11 - 16 below concern other document(s) or information included:					
11.	_	An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.			
		An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.			
	\boxtimes	A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.			
14.		A substitute specification.			
15.	\boxtimes	Small entity status is claimed.			
16.	⊠	Other items or information: CHECK NO. 320347 Drawings (3 sheets) International Search Report Notification of Receipt of Record Copy (PCT/IB/301) Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (PCT/IB/308) Front Page of International Bulletin No. WO01/30706 A1			
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1C18 3 d PCT/PTO 2 6 JUN 2001 ATTORIVEY DOCKET NO. P107359-00002 INTERNATIONAL APPLICATION SEE 37 C.F. 100) / 868632 NO. PCT/JP00/07626 DATE: June 26, 2001 PTO USE ONLY CALCULATIONS 17. The following fees are submitted: Basic National Fee [37 C.F.R. 1.492(a)(1)-(5)]: Search Report has been prepared by the EPO or JPO.....\$860.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482).....\$690.00 No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO [37 C.F.R. 1.445(a)(2)].....\$710.00 Neither international preliminary examination fee (37 C.F.R. 1.482) or international search fee [37 C.F.R. 1.445(a)(2)] paid to USPTO.....\$1,000.00 International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00 \$ 860.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ Surcharge of \$130.00 for furnishing the oath or declaration later than 🔲 20 🔲 30 months from the earliest claimed priority date [37 C.F.R. 1.492(e)]. Number Extra Rate **Number Filed** Claims \$ 216.00 X \$ 18.00 12 **Total Claims** 32 - 20 =Independent Claims 0 X \$80.00 \$ 2 - 3 =+ \$270.00 \$ 270.00 Multiple dependent claim(s) (if applicable) \$ 1346.00 TOTAL OF ABOVE CALCULATIONS = \$ 673.00 Reduction by one-half for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28). \$ 673.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later the 📋 20 🔲 30 months from the earliest claimed priority date \$ [37 C.F.R. 1.492(f)]. 673.00 \$ TOTAL NATIONAL FEE = Fee for recording the enclosed assignment [37 C.F.R. 1.21(h)]. The assignment \$ must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property 673.00 TOTAL FEES ENCLOSED = \$ Amount to be refunded \$ Charged A check in the amount of \$673.00 to cover the above fees is enclosed. to cover the above fee. Please charge my Deposit Account No. 01-2300 in the amount of \$ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to \boxtimes Deposit Account No. 01-2300. NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive [37 C.F.R. 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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